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6P1762

PATENT  
Docket 13DV-13485

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Robert W. Bruce et al. :  
Serial No.: 10/063,494 : Group Art Unit: 1762  
Filed: April 30, 2002 : Examiner:

For: METHOD OF CONTROLLING TEMPERATURE  
DURING COATING DEPOSITION BY EBPVD

Hon. Commissioner of Patents & Trademarks  
Washington, DC 20231

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JUN 11 2002  
TC 1700

INFORMATION DISCLOSURE STATEMENT

- ☒ 1. PTO-1449 [1.97(b)] - (within 3 months or prior to first Office Action)  
☐ 2. Certification [1.97(c)] - (before final Office Action or before Notice of Allowance)  
☐ 3. Fee Payment [1.97(c)] - (before final Office Action or before Notice of Allowance)  
☐ 4. Petition, Certification & Petition Fee Payment [1.97(d)] - (before issue fee payment)

The following are submitted in the above-identified application in compliance with 37 CFR §§1.97 and 1.98:

- ☒ 5. A list of documents on form PTO-1449 together with copies of each identified document and a translation of a concise explanation of each non-English language document is enclosed.

This paper is submitted in accordance with:

- ☒ 6. 37 CFR §1.97(b) (within three (3) months of the application filing date or before a first Office Action on the merits).  
☐ 7. 37 CFR §1.97(c) (before final Office Action or Notice of Allowance, whichever is earlier); and  
☐ 8. The required certification made in Item 11 below, or  
☐ 9. The \$180.00 fee specified in 37 CFR §1.17(p) for submission of this Information Disclosure Statement is authorized in Item 14 below.

- ☐ 10. 37 CFR §1.97(d) (before payment of issue fee); and
- a) This is a petition for consideration of the subject Information Disclosure Statement. The petition fee (\$130.00) required by 37 CFR §1.17(i) is authorized in Item 14 below.
- b) The required certification is made in Item 11 below.
- ☐ 11. Certification
- ☐ 12. Each item of information contained in this Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three (3) months prior to the filing of this Statement.
- ☐ 13. No item of information contained in this Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing this document after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three (3) months prior to the filing of this Statement.
- ☐ 14. Please charge all applicable fees associated with the submittal of this Information Disclosure Statement and any other fees applicable to this application to Deposit Account No. 07-0865.

Respectfully submitted,



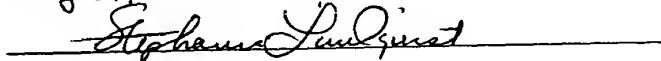
Domenica N.S. Hartman  
Reg. No. 32,701

Hartman & Hartman, P.C.  
Valparaiso, Indiana 46383  
(219) 462-4999

March 22, 2002

I hereby certify that this document is being deposited with the US Postal Service as First Class Mail in an envelope addressed to Box *Now-Fee Amendment*, Assistant Commissioner for Patents, Washington, D.C., 20231, on

May 29, 2002.



<b>INFORMATION DISCLOSURE CITATION IN AN APPLICATION</b>		Attorney Docket No. 13DV-13485	Application No.
Submitted by: <i>Domenica N.S. Hartman</i>		Applicant: Robert W. Bruce et al.	
Domenica N.S. Hartman, Reg. No. 32,701 for General Electric Company		Filing Date:	Group Art No.

## U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Date	Name	Class	Subclass	Filing Date if appropriate
	3,617,375	11/1971	Marek et al.	117	217	
	4,208,042	01/1980	Aichert et al.	266	242	
	4,514,437	04/1985	Nath	427	39	
	4,681,773	07/1987	Bean	427	38	
	4,828,872	05/1989	Bauer et al.	427	39	
	4,926,439	05/1990	Johnson et al.	373	10	
	5,008,897	04/1991	Crumley	373	11	
	5,656,141	08/1997	Betz et al.	204	298.05	
	6,319,569	11/2001	Callaway et al.	427	585	
	09/624,809		Bruce et al.			07/24/2000

## FOREIGN PATENT DOCUMENTS

Examiner's Initials	Document Number	Date	Country	Class	Subclass	Translation Yes   No

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered

\*Examiner: Initial if reference considered whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.